

OCT 1999

PTO/SB/08 (2-92)

Sheet 1 of 7

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 263742002801

Application Number 09/382,561

Applicant

Sutton et al.

Filing Date August 25, 1999

Group Art Unit Unassigned
1712

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
RDL	1.	06/25/57	2,797,201	Veatch et al.	—	—	
	2.	03/17/70	3,501,419	Bridgeford et al.	—	—	
	3.	02/10/76	3,937,668	Zolle	—	—	
	4.	05/19/78	4,089,800	Temple	264	4.6	
	5.	06/06/78	4,093,709	Choi et al.	—	—	
	6.	08/19/78	4,107,288	Oppenheim et al.	428	402.2	
	7.	11/28/78	4,127,622	Watanabe et al.	264	13	
	8.	12/26/78	4,131,648	Choi et al.	—	—	
	9.	02/06/79	4,138,344	Choi et al.	252	1	
	10.	04/03/79	4,147,767	Yapel et al.	—	—	
	11.	12/25/79	4,180,646	Choi et al.	528	153	
	12.	01/27/81	4,247,406	Widder et al.	252	62.53	
	13.	07/07/81	4,276,885	Tickner et al.	128	660	
	14.	02/23/82	4,316,341	Tickner et al.	73	861.25	
	15.	09/14/82	4,349,530	Royer	—	—	
	16.	11/02/82	4,357,259	Senyei et al.	—	—	
	17.	12/13/83	4,420,442	Sands	364	13	
	18.	04/17/84	4,442,843	Rasor et al.	128	660	
	19.	08/21/84	4,466,442	Hillman et al.	128	653	
	20.	02/25/86	4,572,203	Feinstein	128	661	
	21.	06/23/87	4,675,381	Bichon	530 128	660 343	
	22.	10/04/88	4,774,958	Feinstein	128	660.01	
	23.	02/28/89	4,808,408	Baker et al.	424	408	
	24.	07/04/89	4,844,882	Widder et al.	424	9	
	25.	12/19/89	4,888,398	Bichon et al.	525	420	
	26.	01/09/90	4,892,733	Bichon et al.	424	422	
	27.	02/13/90	4,900,540	Ryan et al.	424	9	
RDL	28.	09/18/90	4,957,656	Cerny et al.	—	—	

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
ROL	29.	10/02/90	4,960,351	Kendall Jr. et al.	425	6	
	30.	11/06/90	4,968,562	Delgado	428	402	
	31.	01/01/91	4,981,625	Rhim et al	264	13	
	32.	02/18/92	5,089,181	Hauser	264	4.3	
	33.	08/11/92	5,137,928	Erbel et al.	521	56	
	34.	03/23/93	5,196,183	Yadelson et al.	424	9	
	35.	04/27/93	5,205,287	Erbel et al.	128	632	
	36.	01/10/95	5,380,519	Schneider et al.	424	9	
ROL	37.	06/20/95	5,425,366	Reinhardt et al.	128	662.02	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
ROL	38.	09/13/72	1 288 583	United Kingdom	—	—	
	39.	10/08/81	56-129035	Japan	—	—	
	40.	05/26/82	EP 052 575	Europe	—	—	
	41.	10/19/83	EP 091 555	Europe	—	—	
	42.	08/02/84	WO 84/02838	PCT	—	—	
	43.	01/09/85	EP 130 935	Europe	—	—	
	44.	01/16/85	EP 131 540	Europe	—	—	
	45.	11/12/86	EP 201 239	Europe	—	—	
	46.	11/20/86	EP 202 017	Europe	—	—	
	47.	07/02/87	WO 87/03891	PCT	—	—	
	48.	10/06/87	EP 224 934	Europe	—	—	
	49.	10/12/88	EP 286 424	Europe	—	—	
	50.	02/01/89	EP 327 490	Europe	—	—	
	51.	02/03/89	89053 873	South Africa	—	—	
	52.	02/07/89	227869	New Zealand	—	—	
	53.	06/28/89	EP 322 094	Europe	—	—	
ROL	54.	07/26/89	EP 324 938	Europe	—	—	

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							YES	NO
RAJ	55.	08/08/90	EP 381 543	Europe	—	—		
	56.	08/22/90	EP 0 383 569	Europe	—	—		
	57.	11/15/90	WO 90/13730	WPO	—	—		
	58.	02/21/91	WO 91/01706	PCT	—	—		
	59.	04/25/91	EP 458 079	Europe	—	—		
	60.	04/25/91	EP 458 745	Europe	—	—		
	61.	05/16/91	WO 91/06286	WIPO	—	—		
	62.	07/11/91	WO 91/09629	PCT	—	—		
	63.	08/01/91	2 036 107	Canada	—	—		
	64.	09/05/91	WO 91/12823	PCT	—	—		
	65.	10/17/91	WO 91/15244	WIPO	—	—		
	66.	10/31/91	WO 91/16080	WIPO	—	—		
	67.	11/14/91	WO 91/16882	PCT	AGIK	9/14		
	68.	01/16/92	AU-A-80297/91	Australia	—	—		
	69.	04/01/92	EP 494 615	Europe	—	—		
	70.	04/16/92	WO 92/05806	WIPO	—	—		
	71.	05/19/92	04-145131	Japan	—	—		
	72.	10/15/92	WO 92/17212	WIPO	—	—		
	73.	10/15/92	WO 92/17213	PCT	—	—		
	74.	10/29/92	WO 92/18164	PCT	—	—		
	75.	12/30/92	EP 0 520 748	Europe	—	—		
	76.	02/18/93	WO 93/02712	PCT	—	—		
	77.	08/04/93	EP 0 554 213 A1	Europe	—	—		
	78.	09/16/93	WO 93/17713	PCT	—	—		
	79.	04/28/94	WO 94/08627	WIPO	—	—		
	80.	07/20/94	EP 606 486 A1	Europe	—	—		
RAJ	81.	08/24/94	EP 611 567 A1	Europe	—	—		

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Group Art Unit ~~Unassigned~~ 1712

OTHER DOCUMENTS

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Examiner Initials	Ref. No.	Title
RL	82.	Aldrich et al. (1974) "Use of the spinning disk technique to produce monodisperse microspheres of Human Serum Albumin for labeling with radioisotopes" <i>Int J Appl Rad and Isot</i> 25:15-18
	83.	Allcock (1976) "Polyphosphazenes: New Polymers with Inorganic Backbone Atoms" <i>Science</i> 193:1214-1219
	84.	Angeloni et al. (1982) "The Mannich Bases in Polymer Synthesis: 3.* Reduction of Poly(β -Aminoketone)s to Poly(γ -Aminoalcohol)s and Their <i>N</i> -Alkylation to Poly(γ -Hydroxyquaternary Ammonium Salt)s" <i>Polymer</i> 23:1693-1697
	85.	Barnhart et al. (1990) "Characteristics of Alunex. Air-Filled Albumin Microspheres for Echocardiography Contrast Enhancement" <i>Invest. Radiol.</i> 25:S162-S164
	86.	Baveja et al. (1986) "Microencapsulation of soluble pharmaceuticals" <i>J. Microencapsulation</i> 3:33-37
	87.	Beller et al. (1979) "Assessment of Regional Myocardial Perfusion by Positron Emission Tomography after Intracoronary Administration of Gallium-68 Labeled Albumin Microspheres" <i>J. Comput. Assist. Tomogr.</i> 3:447-452
	88.	Buchanan et al. (1969) "Labeling Albumin Microspheres with ^{113m}In " <i>J. Nucl. Med.</i> 10:487-490
	89.	Cheng et al. (1987) "The Production and Evaluation of Contrast-Carrying Liposomes Made with an Automatic High-Pressure System" <i>Invest. Radiol.</i> 22:47-55
	90.	Clausen et al. (1981) "Distribution of blood flow in the dog kidney. III. Local update of 10 μm and 15 μm microspheres during renal vasodilation and constriction" <i>Acta Physiol. Scand.</i> 113:471-479
	91.	Conte et al. (1994) "Spray Dried Albumin Microspheres Containing Nicardipine" <i>European J. Pharmaceutics and Biopharmaceutics</i> 40:203-208
	92.	Cremers et al. (1990) "Albumin-Heparin Microspheres as Carriers for Cytostatic Agents" <i>J. Controlled Release</i> 11:167-179
	93.	Deasy (1984) "Coacervation-Phase Separation Procedures Using Aqueous Vehicles" <i>Microencapsulation and Related Drug Processes</i> , New York: Marcel Dekker, Inc., pp. 61-69
	94.	Durand-Keklikian et al. (1988) "Microencapsulation of Oil Droplets by Aerosol Techniques - I. Metal Oxide Coatings" <i>J. Aerosol Sci.</i> 19:511-521
	95.	Ellison (1967) "Adaptation of the Spinning Top Generator to Provide Aerosols in the Respirable Range" <i>Ann. Occup. Hyg.</i> 10:363-367
	96.	English Language Translation of Japanese Patent No. 56-129035
	97.	English Language Abstract of Japanese Patent No. 04-145131 Patent Abstracts of Japan (JPO and Japio, 1992)
	98.	Feinstein et al. (1984) "Microbubble Dynamics Visualized in the Intact Capillary Circulation" <i>JACC</i> 4:595-600
RL	99.	Galyean et al. (1979) "Chromatography and Electrophoresis of Native and Spray-Dried Egg White"

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Examiner Initials	Ref. No.	Title
<u>RDL</u>		<i>J. Food Science</i> 44:1345-1349
	100.	Gramiak et al. (1969) "Ultrasound Cardiography: Contrast Studies in Anatomy and Function" <i>Radiology</i> 92:939-948
	101.	Grinstaff et al. (1991) "Air-Filled Proteinaceous Microbubbles: Synthesis of an Echo-Contrast Agent" <i>Proc. Natl. Acad. Sci. USA</i> 88:7708-7710
	102.	Gupta et al. (1989) "Albumin Microspheres I: Physico-Chemical Characteristics" <i>J. Microencap.</i> 6:427-462
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<u>RDL</u>	116.	Ophir et al. (1980) "Ultrasonic Backscatter From Contrast Producing Collagen Microspheres" <i>Ultrasonic Imaging</i> 2:67-77

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Examiner Initials	Ref. No.	Title
RDL	117.	Pande et al. (1990) "Preparation, characterization and performance evaluation of neomycin-HSA microspheres" <i>J. Microencapsulation</i> 7:155-165
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RDL	132.	Takenaka et al. (1982) "Mechanical Properties, Dissolution Behavior and Stability to Oxidation of L-Ascorbylmonostearate Microcapsule Prepared by a Spray-Drying Polycondensation Technique" <i>Chem. Pharm. Bull.</i> 30:2189-2195

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Examiner Initials	Ref. No.	Title
RDL	133.	Violante et al. (1981) "Biodistribution of a Particulate Hepatolienographic CT Contrast Agent: A Study of Iodipamide Ethyl Ester in the Rat" <i>Invest. Radiol.</i> 16:40-45
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RDL	1.	03/26/96	5,501,863	Rosling et al.	424	489	

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	3.	Berthiaume et al. (1989) "Protein clearance from the air spaces and lungs of unanesthetized sheep over 144 h" <i>J Appl. Physiol.</i> 67:1887-1897
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	8.	Hermens (1992) "Delivery of hormones: some new concepts" <i>Pharmaceutisch Weekblad Scientific edition</i> 14:253-257
	9.	Kim et al. (1985) "Asymmetric [¹⁴ C] albumin transport across bullfrog alveolar epithelium" <i>J. Appl. Physiol.</i> 59:1290-1297
	10.	Masinde et al. (1993) "Aerosolized aqueous suspensions of poly(L-lactic acid) microspheres" <i>International Journal of Pharmaceutics</i> 100:123-131
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	13.	Morén (1985) "Aerosol dosage forms and formulations" <i>Aerosols in Medicine. Principles, Diagnosis and Therapy</i> Moren et al (Eds.) Elsevier Science Publishers 261-287
	14.	McMartin et al. (1987) "Analysis of structural requirements for the absorption of drugs and macromolecules from the nasal cavity" <i>Journal of Pharmaceutical Sciences</i> 76:535-540
RDL	15.	Pauwels (1985) "Pharmacokinetics of inhaled drugs" <i>Aerosols in Medicine, Principles, Diagnosis and Therapy</i> Moren et al. (Eds.) Elsevier Science Publishers 219-224

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<i>RdH</i>	16.	Pontiroli et al. (1989) "Intranasal drug delivery potential advantages and limitations from a clinical pharmacokinetic perspective" <i>Clin. Pharmacokinet</i> 17:299-307
<i>RdH</i>	17.	Pontiroli et al. (1990) "Intranasal administration of peptide hormones: factors affecting transmucosal absorption" <i>Diabetic Medicine</i> 7:770-774
<i>RdH</i>	18.	Ting et al. (1992) "Microparticles of polyvinyl alcohol for nasal delivery. I. Generation by spray-drying and spray-desolvation" <i>Pharmaceutical Research</i> 9:1330-1335
<i>RdH</i>	19.	Valeyre et al. (1991) "Glucose, K ⁺ , and albumin concentrations in the alveolar milieu of normal humans and pulmonary sarcoidosis patients" <i>AM REV RESPIR DIS</i> 143:1096-1101
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LOVERING

DATE CONSIDERED:

2-3-01

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.